INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(PTO-1449)

ATTY. DOCKET NO. SERIAL NO. 50325-0510 09/781,525

APPLICANT: Shahrokh Sadjadi

FILING DATE: February 8, 2001 GROUP: 2172

\ \\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	BADEN	39		U.S. PATENT DOCUMENTS		
Exam. Initial*	Cite No. ¹	U.S. Patent D	Document	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where
		Number	Kind Code ² (If known)	·	MM-DD-YYYY	Relevant Passages or Relevant Figures Appear
La		5,193,162		Bordsen et al.	03-09-1993	
7		5,276,835		Mohan et al.	01-04-1994	
		5,276,848		Gallagher et al.	01-04-1994	
		5,280,611		Mohan et al.	01-18-1994	
		5,287,473		Mohan et al.	02-15-1994	
		5,297,269		Donaldson et al.	03-22-1994	
7		5,327,556	-	Mohan et al.	07-05-1994	
	Ì	5,680,576		Laudon	10-21-1997	
		5,829,032		Komuro et al.	10-27-1998	
		5,832,516		Bamford et al.	11-03-1998	
		5,903,910		Tran et al.	05-11-1999	
		5,924,096		Draper et al.	07-13-1999	
J		5,966,706		Biliris et al.	10-12-1999	

Exam. C	ite	Fo	reign Patent D	ocument	Name of Patentee or Applicant	Date of	Pages,	T ⁶
Initial* N	o.¹ Of	ffice ³	Number ⁴	Kind Code ⁵ (If known)	of Cited Document	Publication of Cited Document MM-DD- YYYY	Columns, Lines, Where Relevant Passages or Relevant Figures Appear	•
19	El	P	0 750 260) A2	Kabushiki Kaisha Toshiba	12-27-1996		
<u>ה</u>	W	O	91/03024	A1	Microsoft Corporation	03-07-1991	RECE	VE
$\neg T$	W	O	99/41664	A1	Oracle Corporation	08-19-1999	HEUE	VE
\neg	El	P	0 499 422	2 A2	International Business Machines Corporation	08-19-1992	11181 1	1 200
T	El	P	0 471 282	2 A2	Hewlett-Packard Company	02-19-1992	JUN _c 1	1 (UL
7	El	P	0 657 813	3 A1	International Business Machines Corporation	06-14-1995		0
	4		^ ^	•	· · · · · · · · · · · · · · · · · · ·		Technology	Cente

09/05703

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). 4For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. 5Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6Applicant is to place a check mark here if English language Translation is attached.

ATTY. DOCKET NO. SERIAL NO. INFORMATION DISCLOSURE CITATION 50325-0510 09/781,525 N AN APPLICATION **APPLICANT:** (PTO-1449) Shahrokh Sadjadi GROUP: FILING DATE: February 8, 2001 2172 U.S. PATENT DOCUMENTS Exam. U.S. Patent Document Name of Patentee or Applicant Date of Publication of Pages, Columns, Cite No.1 Lines, Where Initial* of Cited Document Cited Document Number Kind Code² MM-DD-YYYY Relevant (If known) Passages or Relevant Figures Appear 5,987,477 Schmuck et al. 11-16-1999 6,052,758 Crockett et al. 04-18-2000 07-04-2000 6,085,198 Skinner et al. 6,092,157 Suzuki 07-18-2000 6,151,607 Lomet 11-21-2000 Srbljic et al. 6,154,811 11-28-2000 6,256,712 Challenger et al. 07-03-2001 **B**1

Exam.	Cite	Foreign Patent Document		Document	Name of Patentee or Applicant	Date of	Pages,	Т6
Initial*	No. ¹	Office ³	Number ⁴	Kind Code ⁵ (If known)	of Cited Document	Publication of Cited Document MM-DD- YYYY	Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
							RECEIV	/E
							112051	/
							JUN 1 1	200
						Т	chnology Co	nter

VanDoren et al.

Bamford et al.

08-21-2001

03-05-2002

	<u> </u>			
Examiner Signature	land	109	Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

6,279,084

6,353,836

B1

B1

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Substitute for Form	1449A/PTO (Modified) neets as necessary)	Attorney Docket No.: 50325-0510	Application Number: 09/781,525
JUN 0 9 2003		First Named Inventor: Shahrokh Sadjadi Filing Date: February 8, 2001	JUN 1 1 2003 Technology Center 2100
The state of	OTHER ART – NO PATENT	LITERATURE DOCUMENTS	Jecumos) como a
Examiner Cite Initials* No	Include name of the author (in CAPITAL LET item (book, magazine, journal, serial, symposi publisher, city and		
lo	Rana Ejaz Ahmed, et al., "Cache-Ai Algorithms for Shared-Memory Mu 88.	ltiprocessor Systems," 1990, IEI	EE, pp. 82-
	Anne-Marie Kermarrec, et al., "A R Integrating Coherence and Recovera 000597800, pp. 289-298.		
	Michael J. Feeley, et al., "Implement Workstation Cluster," SIGOPS '95,	•	l
	C. Mohan, et al., "A Case Study of I Computing: Data Base Recovery Us Environment", IBM Research Report Institute, IBM Almaden Research C	sing Multiple Logs in the Shared rt RJ 7343 (68824), Database Te	Disks
	C. Mohan, et al., "Solutions to Hot S Transaction Environment", IBM Re Technology Institute, IBM Alamade pages.	search Report RJ 8281 (75642),	Data Base
	C. Mohan, et al., "Efficient Locking Shared Disks Transaction Environm (75662), Data Base Technology Inst August 21, 1991, 20 pages.	ent", IBM Research Report RJ	8301
	C. Mohan, et al., "Data Base Recove Architectures", IBM Research Repo Institute, IBM Almaden Research C	ort RJ 8685 (78247), Data Base T	
	J. W. Josten, et al., "DB2's Use of the http://www.research.ibm.com/journative Volume 36, Number 2, printed 7/30.	al/sj/362/josten.html, IBM Syste	9 '

Signature Support Signature Oct/05703	0.8	yhiu9	Date Considered
---------------------------------------	-----	-------	-----------------

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

Súbstitute	for Form	1449A/PTO (Modified) eets as necessary)	Attorney Docket No.: Application Number: 09/781,525			
JUN 0	2003		First Named Inventor: Shahrokh Sadjadi			
B JUN 0	, was		Filing Date: February 8, 2001			
W. A. DRED	THE STATE OF	OTHER ART – NO PAT	ENT LITERATURE DOCUMENT	TS .		
Examiner Initials*	Cite No ¹	item (book, magazine, journal, serial, syr	L LETTERS), title of the article (when appropriate, catalog, etc.), date, page(s), voluty and/or country where published		Translation ²	
		C. Mohan, et al., "Recovery and	•			
00		Intersystem Page Transfer and F	• •			
J. G		Transaction Environment", IBM Technology Institute, IBM Alma	• ` `	, .		
		pages.	addi Research Center, March 1	3, 1991, 31		
7		Abstracts of Some of C. Mohan 2000, http://www.almaden.ibm. 7/23/01, 31 pages.	_ · · · · · · · · · · · · · · · · · · ·	-		
		, , <u>,</u> <u>,</u> <u>, , , , , , , , , , , , , , , </u>				
				RECEIVE	D	
					i	
				JUN 1 1 20	IJ3	
				Technology Cent	er 2100	
				10011111		
Examiner		Lashing	D C	Considered		

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.